

#3

FORMALITIES LETTER

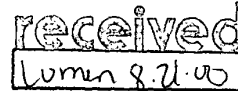


OC00000005314672

UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark OfficeAddress: COMMISSIONER OF PATENT AND TRADEMARKS
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/597,966	06/20/2000	Xiaochun Li	S00-042

Joshua D Isenberg
Lumen Intellectual Property Services
Suite 110
45 Cabot Avenue
Santa Clara, CA 95051



Date Mailed: 08/10/2000

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 690 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$774.
■ \$774 for 43 total claims over 20.
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1594.**

01/09/2001 CNGUYEN 00000012 09597966

01 FC:201	355.00 OP
02 FC:203	387.00 OP
03 FC:217	445.00 OP
04 FC:205	65.00 OP

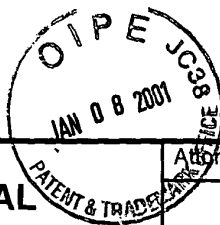
Copy of this notice **MUST** be returned with the reply.

A. Legese

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY



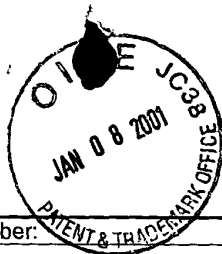
SECTOR \$

TRANSMITTAL FORM (for all correspondence after initial filing)	Attorney Docket No. S00-042	Total Pages
	Application Number 09/597,966	
	Filing Date 6/20/00	
	First Named Inventor XIAOCHUN LI	
	Group Art Unit NOT ASSIGNED	
	Examiner NOT ASSIGNED	

ENCLOSURES (check all that apply)	
<input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503)	<input checked="" type="checkbox"/> Response to Notice of Missing Parts
<input checked="" type="checkbox"/> Fee Transmittal Form	<input checked="" type="checkbox"/> Small Entity Statement
<input checked="" type="checkbox"/> Fee Attached	<input checked="" type="checkbox"/> Declaration by Inventors
<input type="checkbox"/> Response/Amendment	<input checked="" type="checkbox"/> Assignment papers
<input type="checkbox"/> After Final Rejection	<input checked="" type="checkbox"/> Power of Attorney by Assignee
<input type="checkbox"/> After Allowance communication to Group	<input type="checkbox"/> IDS/PTO-1449
<input type="checkbox"/> with Corrected Drawing(s) Total Sheets: []	<input type="checkbox"/> with copies of cited references
<input type="checkbox"/> with Affidavits/Declarations	<input type="checkbox"/> New Power of Attorney and Revocation of Old
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Change of Correspondence Address
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Other:

SIGNATURE OF AGENT	
NAME	MAREK ALBOSZTA , REG. NO. 39,894
Signature	
Date	3 Jan. 2001

Certificate of Mailing by "Regular Mail"	
I hereby certify that this correspondence is being deposited on the date indicated below as first class mail with the U.S. Postal Service addressed to the ASSISTANT COMMISSIONER FOR PATENTS, WASHINGTON, DC 20231.	
	DATE OF MAILING:
SIGNATURE	1/3/2001
Monica Turner	
NAME OF PERSON SIGNING	

**FEE TRANSMITTAL**

Application Number:	09/597,966
Filing Date:	6/20/00
First Named Inventor:	Xiaochun Li
Title of Invention:	Embedded Sensor, Method for Producing and Remote Temperature/Strain Fiber Optic Sensing System
Group Art Unit:	Not assigned
Examiner:	Not assigned
Attorney Docket No.:	S00-042

Fee Calculation:for ☐ Large Entity / ☒ Small Entity.**Basic Billing Fee:**

<input checked="" type="checkbox"/> Utility Patent Application:	\$710 / \$355	\$ 355
<input type="checkbox"/> Provisional Patent Application:	\$150 / \$75	\$

Claims:

<input checked="" type="checkbox"/> Number of Total Claims Over 20: [4 3]	x \$18 / \$9 =	\$ 387
<input type="checkbox"/> No. of Independent Claims Over 3: []	x \$80 / \$40 =	\$

Other Fees:

<input type="checkbox"/> Extension of time, 1 month	\$110 / \$55	\$
<input type="checkbox"/> Extension of time, 2 months	\$390 / \$195	\$
<input checked="" type="checkbox"/> Extension of time, 3 months	\$890 / \$445	\$ 445
<input type="checkbox"/> Extension of time, 4 months	\$1390 / \$695	\$
<input checked="" type="checkbox"/> Missing Parts Surcharge (Regular Application)	\$130 / \$65	\$ 65
<input type="checkbox"/> Missing Parts Surcharge (Provisional Application)	\$50 / \$25	\$
<input checked="" type="checkbox"/> Recordation of Assignment Document	\$40	\$ 40
<input type="checkbox"/> Issue Fee	\$1240 / \$620	\$
<input type="checkbox"/> Printed Patent; Number of Copies: []	x \$3 =	\$

TOTAL PAYMENT: \$ 1292**Method of Payment:**☒ Payment Enclosed☐ Check**Signature of Applicant, Attorney, or Agent**

Marek Alboszta, Reg. No. 39,894

Date

3 Jan. 2001